

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Wisconsin Alumni Research FoundationApplication No./Patent No.: 10/796,236 Filed/Issue Date: March 9, 2004Entitled: Multipolar Electrode System for Volumetric Radiofrequency AblationWisconsin Alumni Research Foundation, a University  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 016062, Frame 0226, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

\_\_\_\_\_  
Signature Date

Keith M. Baxter  
Printed or Typed Name Telephone Number

Attorney for Applicant  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE  
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ASSISTANT SECRETARY AND COMMISSIONER  
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MAY 25, 2005

PTAS

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KATHY HANNEMAN  
614 WALNUT STREET  
13TH FLOOR  
MADISON, WI 53726

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/25/2005

REEL/FRAME: 016062/0226

NUMBER OF PAGES: 9

BRIEF: CORRECTIVE ASSIGNMENT TO RE-RECORD ASSIGNMENT PREVIOUSLY  
RECORDED ON REEL/FRAME 013095/0028 TO CORRECT SERIAL NUMBER FROM  
10/167781 TO 10/167681.

ASSIGNOR:

MAHVI, DAVID M.

DOC DATE: 08/22/2002

ASSIGNOR:

LEE, JR., FRED T

DOC DATE: 08/28/2002

ASSIGNOR:

HAEMMERICH, DIETER

DOC DATE: 09/10/2002

ASSIGNOR:

WEBSTER, JOHN G.

DOC DATE: 09/03/2002

ASSIGNOR:

WRIGHT, ANDREW S.

DOC DATE: 08/16/2002

ASSIGNOR:

JOHNSON, CHRIS D.

DOC DATE: 08/30/2002

016062/0226 PAGE 2

ASSIGNEE:

WISCONSIN ALUMNI RESEARCH  
FOUNDATION  
614 WALNUT STREET  
MADISON, WISCONSIN 53726

SERIAL NUMBER: 10167681

FILING DATE: 06/10/2002

PATENT NUMBER:

ISSUE DATE:

TITLE: RADIO-FREQUENCY ABLATION SYSTEM USING MULTIPLE ELECTRODES

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.1

05/25/2005  
500033032

SUBMISSION TYPE:	NEW ASSIGNMENT														
NATURE OF CONVEYANCE:	Corrective assignment to re-record assignment previously recorded on Reel/Frame 013095/0028 to correct serial number from 10/167781 to 10/167681.														
CONVEYING PARTY DATA															
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>David M. Mahvi</td><td>08/22/2002</td></tr><tr><td>Fred T Lee Jr.</td><td>08/28/2002</td></tr><tr><td>Dieter Haemmerich</td><td>09/10/2002</td></tr><tr><td>John G. Webster</td><td>09/03/2002</td></tr><tr><td>Andrew S. Wright</td><td>08/16/2002</td></tr><tr><td>Chris D. Johnson</td><td>08/30/2002</td></tr></tbody></table>		Name	Execution Date	David M. Mahvi	08/22/2002	Fred T Lee Jr.	08/28/2002	Dieter Haemmerich	09/10/2002	John G. Webster	09/03/2002	Andrew S. Wright	08/16/2002	Chris D. Johnson	08/30/2002
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Chris D. Johnson	08/30/2002														
RECEIVING PARTY DATA															
<table border="1"><tr><td>Name:</td><td>Wisconsin Alumni Research Foundation</td></tr><tr><td>Street Address:</td><td>614 Walnut Street</td></tr><tr><td>City:</td><td>Madison</td></tr><tr><td>State/Country:</td><td>WISCONSIN</td></tr><tr><td>Postal Code:</td><td>53726</td></tr></table>		Name:	Wisconsin Alumni Research Foundation	Street Address:	614 Walnut Street	City:	Madison	State/Country:	WISCONSIN	Postal Code:	53726				
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City:	Madison														
State/Country:	WISCONSIN														
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PROPERTY NUMBERS Total: 1															
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10167681</td></tr></tbody></table>		Property Type	Number	Application Number:	10167681										
Property Type	Number														
Application Number:	10167681														
CORRESPONDENCE DATA															
Fax Number: (608)262-6104 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>															
Phone: 608-263-7677															
Email: khanneman@warf.org															
Correspondent Name: Kathy Hanneman															
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Address Line 2: 13th Floor															
Address Line 4: Madison, WISCONSIN 53726															

CH \$40.00 10167681

NAME OF SUBMITTER:

Kathy Hanneman

Total Attachments: 7

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ASSIGNMENT  
(Joint Inventors)

I am one of the persons identified as an inventor and who has contributed to a certain new and useful invention (the "Invention"), as set forth in an application for United States Letters Patent, bearing substantially the following title and bearing the following serial number. The application was executed by me, and it was filed on the indicated date:

Title: RADIO-FREQUENCY ABLATION SYSTEM USING MULTIPLE  
ELECTRODES

Serial Number: 10/167781

Date Filed: 6-10-2002

The assignee under this agreement is hereby authorized to insert the filing date and serial number referred to above, when ascertained.

For good and valuable consideration, I do hereby sell, assign, and transfer to the Wisconsin Alumni Research Foundation (hereinafter "WARF") a non-stock, non-profit Wisconsin corporation, and to its legal representatives, successors, and assigns, my entire right, title and interest in and to the Invention and to all United States and foreign patent applications any of whose claims cover all or part of the Invention, together with all patents resulting from such applications. WARF shall hold such right, title, and interest as fully and completely as they would have been held by me had this assignment and sale not been made.

I agree, upon WARF's request, to execute or assent to such United States and foreign patent applications and to execute all separate assignments and other legal documents that WARF may find necessary or desirable in its exercise of the right, title, and interest assigned above. I also agree to communicate to WARF any facts relating to the Invention or to any of the patent applications or patents contemplated above that may be useful to WARF and to testify as to such facts in any interferences or in litigation, if requested to do so. I shall do all these things without additional compensation but at no expense to me.

I hereby request the Commissioner of Patents to issue to WARF, as the owner of my entire right, title, and interest therein, any Letters Patent of the United States that may be issued for the Invention.

Ref: P01339US

Wisconsin Alumni Research Foundation

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: Fred T. Lee, Jr.

Date: 8/28/02

Fred T. Lee, Jr.  
3810 Council Crest  
Madison, WI 53711

State of Wisconsin    )  
                                  )ss.  
County of Dane        )

On this 28<sup>th</sup> day of August, 2002 before me personally appeared Fred T. Lee, Jr., known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Margaret L. Bineakoff  
Notary Public

My Commission expires: 11/16/2003

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: Dieter Haemmerich

Date: 9/10/02

Dieter Haemmerich  
1402 Drake Street  
Apt. 3  
Madison, WI 53711

State of Wisconsin    )  
                                  )ss.  
County of Dane        )

On this 10 day of September, 2002 before me personally appeared Dieter Haemmerich, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Melodie A. Stranberg  
Notary Public

My Commission expires: October 2005

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: John G. Webster

Date: 9/3/02

John G. Webster  
1710 Hoyt Street  
Madison, WI 53705

State of Wisconsin    )  
                              )ss.  
County of Dane        )

On this 3 day of September, 2002 before me personally appeared John G. Webster, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Debra K. Jones  
Notary Public

My Commission expires: Nov 10, 2008

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: AS Wright

Date: 8/16/02

Andrew S. Wright  
410 Ridge Street  
Madison, WI 53705

State of Wisconsin    )  
                                  )ss.  
County of Dane        )

On this 16th day of Aug., 2002 before me personally appeared Andrew S. Wright, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Lisa Schwarze  
Notary Public

My Commission expires: 6/8/03

ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: Chris Johnson

Date: 8-30-02

Chris D. Johnson  
~~2925 King James Way~~  
~~Madison, WI 53719~~

745 W. Washington Ave (#107)  
Madison, WI 53715

State of Wisconsin )  
                                  )ss.  
County of Dane )

On this 30<sup>th</sup> day of August, 2002 before me personally appeared Chris D. Johnson, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL

Josephine L. Becker  
Notary Public

My Commission expires: 3-21-04



ASSIGNMENT

Wisconsin Alumni Research Foundation

Ref: P01339US

App.#: 10/167781, Filed: 6-10-2002

Inventor: 

Date: 22 Aug 02

David M. Mahvi  
1377 Boundary Road  
Middleton, WI 53562

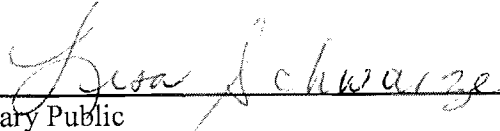
State of Wisconsin    )  
                                  )ss.

County of Dane        )

On this 22<sup>nd</sup> day of August, 2002 before me personally appeared David M. Mahvi, known to me to be the person identified above as the "Inventor," who executed the above instrument and acknowledged to me that he or she executed the same for the uses and purposes therein set forth.

SEAL



  
Notary Public

My Commission expires: 6/8/03